

*This brochure supplement provides information about Bennett Jay Bergman that supplements the Cornerstone Investment Services, LLC brochure. You should have received a copy of that brochure. Please contact Bennett Jay Bergman if you did not receive Cornerstone Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Bennett Jay Bergman is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Cornerstone Investment Services, LLC**  
Form ADV Part 2B – Individual Disclosure Brochure  
*for*  
**Bennett Jay Bergman**  
Investment Adviser Representative  
Personal CRD Number: 5602446

Cornerstone Investment Services, LLC  
245 Waterman St., STE 301  
Providence, RI 02906  
(401) 453-5550  
[www.cornerstoneri.com](http://www.cornerstoneri.com)  
[bbergman@cornerstoneri.com](mailto:bbergman@cornerstoneri.com)

UPDATED: 6/16/2016



**Item 2: Educational Background and Business Experience**

**Name:** Bennett Jay Bergman  
**Born:** 1951

**Education Background and Professional Designations:**

**Education:** BA Economics, Hofstra University-1973  
JD Law, Stetson University- 1976

**Business Background:**

2009 - Present	Investment Advisor Representative Cornerstone Investment Services, LLC
2008 - Present	Registered Representative Cantella & Co., Inc.
1977 - Present	Attorney Bennett J Bergman

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Bennett Jay Bergman is a lawyer that represents personal injury victims, mostly in automobile collision cases.

Bennett Jay Bergman is also a Registered Representative of Cantella & Co., Inc. From time to time, he will offer clients advice or products from those activities. Clients should be aware that registered representative services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a investment adviser representative. Commissions earned as a registered representative of Cantella & Co., Inc. are industry standard commission rates for a registered representative who is an independent contractor of a broker dealer.

Bennett Jay Bergman is currently licensed to sell insurance. From time to time, he will offer clients advice or products from those activities. Clients should be aware that insurance products pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of an investment adviser representative. Commissions earned as a licensed insurance salesman are industry standard commission rates for an independently licensed insurance salesman.

## Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, or commissions received from his role as a registered representative, Bennett Jay Bergman does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Cornerstone Investment Services, LLC.

## Item 6: Supervision

As a representative of Cornerstone Investment Services, LLC, Bennett Jay Bergman works closely with John Riley who supervises all duties and activities of this firm. John Riley's contact information is: (401) 453-5550; johnr@cornerstoneri.com.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by New Hampshire securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Bennett Jay Bergman has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Bennett Jay Bergman has NOT been the subject of a bankruptcy petition at any time.