

*This brochure supplement provides information about John J. Riley that supplements the Cornerstone Investment Services, LLC brochure. You should have received a copy of that brochure. Please contact John J. Riley, Managing Member if you did not receive Cornerstone Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about John J. Riley is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Cornerstone Investment Services, LLC**  
Form ADV Part 2B – Individual Disclosure Brochure  
*for*  
**John J. Riley**  
Investment Adviser Representative  
Personal CRD Number: 1445860

Cornerstone Investment Services, LLC  
11295 FM 1565  
Poetry, TX 75160  
(972) 563-8990  
[www.cornerstoneri.com](http://www.cornerstoneri.com)  
[johnr@cornerstoneri.com](mailto:johnr@cornerstoneri.com)

UPDATED: 9/14/2016



## Item 2: Educational Background and Business Experience

**Name:** John J. Riley  
**Born:** 1958

### Education Background and Professional Designations:

#### Education:

BA Political Science, Providence College - 1980

#### Business Background:

1999 - Present IAR/Registered Research Analyst/Operating Manager  
Cornerstone Investment Services, LLC

1999 - Present Registered Representative  
Cantella & Co., Inc.

## Item 3: Disciplinary Information

Client alleged unsuitable investments and losses of \$8,000 in her accounts. Upon review of the client's three accounts, she had a gain of \$3,853.68 net after fees. The claim was denied.

A pension alleged that representative did not deliver any services to the plan or its participants. John Riley was the rep of record and investment professional from June 2011 through November 2011. The plan sought damages of \$7,992.56. The matter was closed with no action taken.

## Item 4: Other Business Activities

John J. Riley is a Registered Representative of Cantella & Co., Inc. From time to time, he may offer clients advice or products from those activities. Clients should be aware that registered representative services may pay a commission and may involve a conflict of interest, as commissionable products may conflict with the fiduciary duties of an investment adviser representative. To avoid this conflict, Cornerstone and John Riley strive to act in the best interest of the client and recommend investments or products that have been determined to be in the client's best interest.

John J. Riley is currently licensed to sell insurance. From time to time, he may offer clients advice or products from those activities. Clients should be aware that insurance products may pay a commission and may involve a conflict of interest, as commissionable products may conflict with the fiduciary duties of an investment adviser representative. To avoid this conflict, Cornerstone and John Riley strive to act in the best interest of the client and recommend investments or products that have been determined to be in the client's best interest.

John Riley owns Cornerstone Ranch, which raises cattle, horses and other livestock in Texas.

### Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, or commissions received from his role as a registered representative, John J. Riley does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Cornerstone Investment Services, LLC.

### Item 6: Supervision

As the only owner and representative of Cornerstone Investment Services, LLC, John J. Riley supervises all duties and activities. John J. Riley's contact information is on the cover page of this disclosure document.

### Item 7: Requirements For State Registered Advisers

*This disclosure is required by New Hampshire securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. John J. Riley has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. John J. Riley has **NOT** been the subject of a bankruptcy petition at any time.