

*This brochure supplement provides information about John J. Riley that supplements the Cornerstone Investment Services, LLC brochure. You should have received a copy of that brochure. Please contact John J. Riley, Managing Member if you did not receive Cornerstone Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about John J. Riley is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Cornerstone Investment Services, LLC**  
Form ADV Part 2B – Individual Disclosure Brochure  
*for*  
**John J. Riley**  
Investment Adviser Representative  
Personal CRD Number: 1445860

Cornerstone Investment Services, LLC  
245 Waterman Street, Suite 301  
Providence, Rhode Island, 02906  
(401) 453-5550  
[www.cornerstoneri.com](http://www.cornerstoneri.com)  
[johnr@cornerstoneri.com](mailto:johnr@cornerstoneri.com)

UPDATED: 10/13/2020

## Item 2: Educational Background and Business Experience

**Name:** John J. Riley

**Born:** 1958

### Education Background and Professional Designations:

#### Education:

BA Political Science, Providence College - 1980

#### Designations:

(AIF®) Accredited Investment Fiduciary®

The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

#### Business Background:

1999 - Present	Managing Member/President Cornerstone Investment Services, LLC
06/2019 - 10/2020	Registered Representative Vestech Securities, Inc.
1999 - 05/2019	Registered Representative Cantella & Co., Inc.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

John J. Riley is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, or commissions received from his role as a registered representative, John J. Riley does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Cornerstone Investment Services, LLC.

### **Item 6: Supervision**

As the only owner and representative of Cornerstone Investment Services, LLC, John J. Riley supervises all duties and activities. John J. Riley's contact information is on the cover page of this disclosure document.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by New Hampshire securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. John J. Riley has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. John J. Riley has **NOT** been the subject of a bankruptcy petition at any time.