This brochure supplement provides information about Michael Curtis that supplements the Cornerstone Investment Services, LLC brochure. You should have received a copy of that brochure. Please contact Michael Curtis, VP Managed Accounts if you did not receive Cornerstone Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael Curtis is also available on the SEC's website at <u>www.adviserinfo.sec.gov</u>.



# **Cornerstone Investment Services, LLC** Form ADV Part 2B – Individual Disclosure Brochure

# Michael Curtis

VP of Managed Accounts & Investment Advisor Representative Personal CRD Number: 1452785

> Cornerstone Investment Services, LLC 245 Waterman St. Ste. 301 Providence, RI 02906 (401) 453-5550 www.cornerstoneri.com mcmc@cornerstoneri.com



UPDATED: 6/16/2016

#### Item 2: Educational Background and Business Experience

Name: Michael Curtis

**Born:** 1961

#### **Education Background and Professional Designations:**

#### **Education:**

BA History, University of Rhode Island - 1985

#### **Business Background:**

2008 - Present	VP Managed Accounts & IAR Cornerstone Investment Services, LLC
2008 – Present	Registered Representative Cantella & Co., Inc.
1997 – 2011	Vice President Brenva Inc.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Michael Curtis is currently a Registered Representative of Cantella & Co., Inc. From time to time, he will offer clients advice or products from those activities. Clients should be aware that registered representative services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a investment adviser representative. Commissions earned as a registered representative of Cantella & Co., Inc. are industry standard commission rates for a registered representative who is an independent contractor of a broker dealer.

# Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, or commissions received from his role as a registered representative, Michael Curtis does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Cornerstone Investment Services, LLC.

## **Item 6: Supervision**

As a representative of Cornerstone Investment Services, LLC, Michael Curtis works closely with John Riley who supervises all duties and activities of this firm. John Riley's contact information is: (401) 453-5550; johnr@cornerstoneri.com.

#### Item 7: Requirements For State Registered Advisers

This disclosure is required by New Hampshrie securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Michael Curtis has <u>NOT</u> been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  - 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Michael Curtis has <u>NOT</u> been the subject of a bankruptcy petition at any time.